

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hisashi Ohtani
Serial No. : 09/389,393
Filed : September 3, 1999
Title : SEMICONDUCTOR DEVICE HAVING INSULATED GATE ELECTRODE

Art Unit : 2815
Examiner : Bradley Baumeister

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR INITIALED PTO FORM 1449

Upon reviewing the file, applicants noted that they have not received an initialed copy of the enclosed PTO Forms 1449 and 892 that accompanied an information disclosure statement filed September 3, 1999.

Applicants' records show that this information disclosure statement complied with 37 CFR 1.97. For the Examiner's convenience, all of the references identified on the three PTO-892 forms and the one PTO-1449 form have been combined in one document (identified as Appendix A). Thus, it is respectfully requested that the examiner initial and return the forms as originally submitted or, in the alternative, initial and return the revised PTO-1449 as soon as possible.

The examiner's prompt attention to this matter would be greatly appreciated.

Respectfully submitted,

Date: November 3, 2003

William G. Hughes, Jr.
William G. Hughes, Jr.
Reg. No. 46,112

Fish & Richardson P.C.
1425 K Street, N.W.
11th Floor
Washington, DC 20005-3500
(202) 783-5070 telephone
(202) 783-2331 facsimile

40184398.doc

RECEIVED
DEC - 5 2003
IC 2800 MAIL ROOM

Notice of References Cited

Application No. 08/976,739		Applicant(s) OHTANI	
Examiner William Baumeister		Group Art Unit 2815	Page 1 of 1

U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A	5,272,100	12/21/93	Satoh et al.	438	305
B	5,308,998	5/3/94	Yamazaki et al.	257	72
C	5,422,505	6/6/95	Shirai	257	401
D	5,481,121	1/2/96	Zhang et al	257	72
E	5,619,045	4/8/97	Konuma et al.	257	72
F	5,668,032	9/16/97	Holmberg et al.	257	59
G	5,741,736	4/21/98	Orlowski et al.	438	286
H					
I					
J					
K					
L					
M					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
N						
O						
P						
Q						
R						
S						
T						

NON-PATENT DOCUMENTS

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
U		
V		
W		
X		

Notice of References Cited

Application No. 08/976,739		Applicant(s) OHTANI	
Examiner William Baumeister		Group Art Unit 2815	Page 1 of 1

U.S. PATENT DOCUMENTS

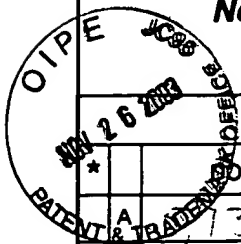
	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A	5,742,363	4/21/98	Bae	249	48
B	5,670,795	9/23/97	Ikeda	257	72
C	5,580,800	12/3/96	Zhang et al.	437	40
D	5,430,329	7/4/95	Lee	257	412
E					
F					
G					
H					
I					
J					
K					
L					
M					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
N	JP-406275641A	8/30/94	Japan	Semiconductor Energy Lab	H01L21	336
O						
P						
Q						
R						
S						
T						

NON-PATENT DOCUMENTS

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
U		
V		
W		
X		

**Notice of References Cited**

Application No.

05/976,739

Applicant(s)

ONTANI, HISAKI

Examiner

BILL BAUMEISTER

Group Art Unit

2815

Page 1 of 1

U.S. PATENT DOCUMENTS

*	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A	5,131,216	3/24/98	HOLMBERG et al	437	40
B	5,643,817	7/1/97	KIM et al	437	51
C	5,612,234	3/18/97	Ha	437	40
D	5,604,137	2/18/97	YAMAZAKI et al	437	40
E					
F					
G					
H					
I					
J					
K					
L					
M					

FOREIGN PATENT DOCUMENTS

*	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
N						
O						
P						
Q						
R						
S						
T						

NON-PATENT DOCUMENTS

*	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
U		
V		
W		
X		

* A copy of this reference is not being furnished with this Office action.
(See Manual of Patent Examining Procedure, Section 707.05(a).)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET
07977/204001/US3480SERIAL NO.
08/976,739INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)APPLICANT:
Hisashi OhtaniFILING DATE
November 24, 1997GROUP
2815

(37 CFR 1.98(b))

APR 29 1999

PATENT DOCUMENTS

EXAMINER INITIALS		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
BWB	AA	5	5	1	8	8	0	5	5/21/96	Ho et al.			
BWB	AB	5	1	7	7	5	7	7	1/5/93	Taniguchi et al.			
BWB	AC	5	5	1	8	9	3	6	5/21/96	Yamamoto et al.			
	AD												
	AE												
	AF												
	AG												
	AH												
	AI												
	AJ												
	AK												

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER DOCUMENTS (including Author, Title, Date, Place of Publication)

	AQ	
	AR	
	AS	

EXAMINER *BW Baur*

DATE CONSIDERED 5/18/99

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.